Beethoven in the House: Model documentation

This document provides an overview of a structural model that aims to support the association of multiple source materials and the development of comparative musicological research, as designed for use in the *Beethoven in the House* research project. Although the model has, thus far, only been assessed within this project, we anticipate wider applicability encompassing other sources and musicological investigations.

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Model

We present our multi-layered, conceptual model for associating musical source materials to musicological arguments. We describe our proposal for operationalizing these concepts through a framework for musical annotation. Briefly stated, this model shows how portions of digitised data in various files and formats can be identified, selected, labelled, and compared.

The following chart presents a top-down view.



Each layer in the model corresponds to one of the three main categories of objects that represent our data:

- Evidence Objects digitised sources;
- **Musical Objects** user-selected musical elements and their aggregates in various relevant combinations; and
- **Musicological Objects** their musicological labelling and scholarly commentary.

Prior to primary scholarly activity, in this case research commentary involving multiple sources, a series of steps must be completed including identification of available resources, selection of items of interest, and addressing locations in these sources. The two lower levels of the model are therefore, generally speaking, concerned with collecting the desired data, while the upper level involves critical judgement.

A bottom-up introduction to these structures follows.

1. EVIDENCE OBJECTS

These are digitised materials that will be used for the musicological investigation. They include images of musical scores and the MEI encodings (stored in project repositories and digital libraries), music recordings (in the form of audio files and digital video), text documents, and links to secondary source materials, such as books and articles.



<u>Resources</u> refer to complete documents or files which can be unambiguously identified using a Universal Resource Identifier (URI). Typically we would expect to be able to access these.

Examples

- an encoded music file: <u>https://github.com/DomesticBeethoven/documentation/blob/main/examples/ex-1.1-res</u> <u>ources-1.mei</u>
- an image file: <u>https://github.com/DomesticBeethoven/documentation/raw/main/examples/ex-1.1-res</u> <u>ources-2.jpg</u>
- a video file: <u>https://www.youtube.com/embed/lq4bHt5FTmY</u>
- a document file: <u>https://doi.org/10.2307/763996</u>

<u>References</u> are places or regions within a Resource, and are also identified using URIs.

Examples

- the XML ID of an MEI element: ex-1.1-resources-1.mei#dynam-0000001714625823
- a region in a MEI file: <http://domestic-beethoven/mei/ex.mei#zone_c564dbd0-0d>
- a timestamp: #t=12.5/20.0
- a DOI of a text resource: doi:10.2307/746230

2. MUSICAL OBJECTS

These refer to the music that will be investigated, be it in whole or in part, in notated, image, audio or video format. The types of musical objects refer to the various levels of collection and abstraction pertinent to the musical research, closely following FRBR principles. FRBR terminology is used whenever possible, although there are particularities pertaining to the domain of music which we reflect through our use of FRBR subclasses. Any of these musical objects may be the target of an Observation.



A <u>Selection</u> is the lowest level of grouping musical references. It contains the complete set of URIs for the individual components of a musical extract, be that a single resource, or multiple resources derived from a single source of material, such as a set of orchestral parts. It is a subclass of frbr:Manifestation because it gathers together digitised embodiments of a <u>musical source</u>. Every Selection must be part of an Extract because it is assumed that every piece potentially has at least two manifestations: one written and one performed.

Examples

- an area on the surface of the score
- part of a music audio/video file
- a passage in the instrumental parts of the same musical score
- → See <u>Appendix: A and B</u> for RDF examples

An <u>Extract</u> collects various instances of a feature or passage. It is used to gather Selections from different media representing a musical source (this may mean multiple manifestations – for example, a scan of a particular edition and a recording based on this edition). Extracts may be grouped together as ma:setting of a MusicalMaterial (see below), a unique musical expression.

Examples

- a single Selection
- a combination of Selections from different sources, such as the XML IDs of consecutive measures in an MEI file and the timestamps of its corresponding section in an audio file

<u>MusicalMaterial</u> is an abstract entity that refers to the musical concept behind a music extract, such as a passage, phrase or fragment. It is primarily used to group a particular aspect of a musical extract with an analogous – or what we are calling a *parallel* – moment or passage in distinct versions of a musical work. MusicalMaterial represents a single musical thought, independent of its various manifestations in different arrangements or recordings (or, in a variation set, within a single variation).

Since it is an abstraction, MusicalMaterial does not necessarily have a notated expression. It can correspond to just a "core" musical thought or be identical with one of its expressions, according to the scholar's interpretation of the music. MusicalMaterial can refer to any segment of music, independent of formal structures. It is a subclass of frbr:Expression because it represents the realisation of a MusicalIdea.

Examples

- the recapitulation section in two arrangements of the same work
- a citation from a piece that is featured in another piece: the Dies Irae theme in the 4th movement of Berlioz's Symphonie fantastique

<u>MusicalIdea</u> is an abstract entity that refers to the musical thought behind a recurring musical structure, such as a theme or a motiv. Although it is similar to MusicalMaterial in the sense that it is an abstraction, MusicalIdea primarily

groups elements that can be found not only in parallel passages in different musical pieces but also within a single musical piece, and consequently has greater affinity with established musical structures. As MusicalMaterial, MusicalIdea is abstract and does not require a notated form. It is a subclass of frbr:Work because it is an idealised "seed" of its multiple expressions throughout a musical piece.

MusicalIdeas, as abstractions, must be realised in the form of MusicalMaterial. Even if the MusicalMaterial only occurs in one version, it represents the concept behind a passage, and could recur (i.e. a second expression of the same MusicalIdea always potentially exists).

Examples

- the entries of a subject in a fugue
- a motiv that is repeated and developed in a sonata-form piece

3. MUSICOLOGICAL OBJECTS

Whereas the previous layers describe and structure musicological evidence, Musicological Objects enable us to document musicological research. These objects are used to describe, compare and record historical context associated with the collected musical objects, as well as to present hypotheses, link them to non-musical sources and make scholarly commentary. This is accomplished using the <u>Web Annotation</u> standard, capturing annotations along with their motivation and provenance.



Observations usually contain a simple textual remark that targets a musical object. In most cases, it will be used to label such objects or to present one of their relevant features. Observations aim to be objective, non-controversial, or traditional descriptions, so that they can be reused.

Observations might also target References of non-musical objects relevant to the research, such as letters (as text or as image) or historical newspaper advertisements.

Examples

- a label: "This is the theme A of this sonata-form movement."
- something notable: "There is a *fff* (fortississimo) marking here."
- a description: "The development section starts with bassoon and oboe playing the main motiv."

<u>Relationships</u> are used to juxtapose more than one musical object by connecting Observations, Commentaries and other Relationships. They also aim to be a simple text remark, usually noting a commonality, a substitution, an addition or a deletion between Observations. More complex details, such as the reason for a particular change, are recorded in Commentaries.

Examples

- a comparison: "The *fff* (fortississimo) marking in version A was substituted by an *ff* (fortissimo) in version B."
- noting a common pattern: "The *fff* to *ff* substitution occurs in these three passages."
- a deletion: "The introduction was suppressed in version B."

<u>Commentaries</u> are used to make more complex comparisons, bring attention to relevant aspects of the music and to present hypotheses to explain any differences observed. Commentaries can also present historical or analytical reflections informed by academic research, including pointers to external sources and references.

Examples

- a comparison: "The bassoon part in the orchestra version has staccatos that were substituted by a pizzicato in the violoncello in the piano trio version. This represents a deliberate change of articulation since the violoncello is capable of playing it as written in the orchestra version."
- a notable event: "At this time, fff markings were absolutely rare. They were probably introduced in the musical vocabulary by Beethoven himself, and even then they figure only in three of his works (see SHEER 1998, 361)."
- a hypothesis: "This substitution of staccatos by pizzicatos may have been done due to the change in instrumentation."

→ See <u>Appendix: C</u> for RDF examples

Appendix: RDF examples

Example A: Selection from Audio and Score

```
bith:bassoonStaccatoSelection a bithTerms:Selection ;
frbr:part
   bith:C93-30_Partitur.mei#staff-36-fg ,
   bith:C93-30_Partitur.mei#staff-37-fg ,
   ...
   bith:C93-30_Partitur.mei#staff-44-fg .
bith:bassoonStaccatoSelectionMackerras a bithTerms:Selection ;
   frbr:part bith:MackerrasLvbOp93.mp3#t=45,60 .
```

Example B: Musical Material, Extracts and Selections from the annotation environment

bith:Theme1stCadence a bithTerms:MusicalMateral ;
 bithTerms:hasSetting bith:Gesang1stCadence,bith:Pittman1stCadence .

bith:Gesang1stCadence a bithTerms:Extract ;
 frbr:embodiment bith:mm7-8 .

bith:mm7-8 a bithTerms:Selection ;
 frbr:part bith:C93_gesang.mei#m-07, bith:C93_gesang.mei#m-08 .

Example C: Musicological layer

bith:9692b89 a oa:Annotation ; oa:motivation bith:observation dc:creator <https://beethovenscholar.solidcommunity.net/profile/card#me> ; dc:created "2022-09-27T12:00:00Z"^^xsd:dateTime ; oa:hasTarget bith:Extract-PartiturMvt1recap ; oa:hasBody "There is a fff (fortississimo) marking here." .

Glossary

Musical Source: a unique edition of a musical work. It can be made up of a single score (e.g. a piano solo piece) or a collection of scores (e.g. a conductor's score plus each instrument part in an orchestral piece). For the same work, a manuscript, a printed first edition and a reprint constitute three different musical sources. However, two identical digitised scores can refer to a single musical source, although they are different resources.